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FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

washington,	D.C.	20549	

OMB APPROVAL						
OMB Number:	3235-0287					
Estimated average burden						

0.5

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C	Check this box if no longer subject to
S	Section 16. Form 4 or Form 5
С	bligations may continue. See
- II	nstruction 1(b).

## Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person\* (Check all applicable) Ocugen, Inc. [ OCGN ] Kompella Uday X Director 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) below) below) (Last) (First) (Middle) 12/16/2021 C/O OCUGEN, INC. **263 GREAT VALLEY PARKWAY** 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Form filed by One Reporting Person **MALVERN** 19355 PA Form filed by More than One Reporting (City) (State) (Zip) Table L. Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 - Non-Derivative Securities Acquired, Disposed of, or Deriencially Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(111511.4)
Table II - Derivative Securities Acquired Disposed of or Reneficially Owned										

## (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount 8. Price of 9. Number of 11. Nature Conversion or Exercise Expiration Date (Month/Day/Year) of Securities Underlying derivative Securities of Indirect Beneficial Derivative Security Date (Month/Day/Year) Execution Date, Transaction Ownership Derivative Code (Instr. Security if any (Month/Day/Year) Form: Price of Derivative Security Securities Acquired (A) or Disposed Beneficially Owned Following (Instr. 3) 8) Derivative Security (Instr. 3 and 4) (Instr. 5) Direct (D) Ownership or Indirect (I) (Instr. 4) Reported of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount or Number Date Expiration Date Code (A) (D) Exercisable Title Shares Option (Right to 12/16/2021 16,335 16,335 \$5.02 16,335 12/16/2031 Stock

## **Explanation of Responses:**

1. The option vests monthly in equal installments over three years commencing on January 16, 2022, subject to continued service with Ocugen, Inc. on the applicable vesting dates.

By: /s/ Uday Kompella by Sanjay Subramanian, his

attorney-in-fact

\*\* Signature of Reporting Person Date

12/17/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.