UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

CURRENT REPORT Pursuant to Section 13 OR 15 (d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): August 25, 2020

OCUGEN, INC.

(Exact Name of Registrant as Specified in its Charter)

Delaware

(State or Other Jurisdiction of Incorporation)

001-36751

(Commission File Number) **04-3522315** (I.R.S. Employer Identification Number)

5 Great Valley Parkway, Suite 160 Malvern, Pennsylvania 19355 (484) 328-4701

(Addresses, including zip code, and telephone numbers, including area code, of principal executive offices)

N/A

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8–K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

0 Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

0 Soliciting material pursuant to Rule 14a–12 under the Exchange Act (17 CFR 240.14a–12)

0 Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

0 Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, \$0.01 par value per share	OCGN	The Nasdaq Stock Market LLC
		(The Nasdaq Capital Market)

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company O

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. O

Item 8.01 Other Events

On August 25, 2020, the U.S. Patent and Trademark Office issued U.S. Patent No. 10,751,337 B2, titled "Preservative Free Ocular Compositions and Methods for Using the Same for Treating Dry Eye Disease and Other Eye Disorders" (the "Patent"), to Ocugen, Inc. (the "Company"), which is a continuation-in-part application of U.S. Patent No. 9,597,328, issued March 21, 2017. The Patent relates to OCU300 and OCU310, two product candidates that the Company had previously been developing for the treatment of ocular graft versus-host disease and dry eye disease, respectively. As previously announced in the Company's Annual Report on Form 10-K filed with the Securities and Exchange Commission ("SEC") on March 27, 2020, and in its Current Report on Form 8-K filed with the SEC on June 1, 2020, the Company has discontinued its development of OCU310 and OCU300, and it is not currently developing any other therapies related to the Patent.

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: August 31, 2020

OCUGEN, INC.

By: /s/ Shankar Musunuri

Name: Shankar Musunuri Title: Chief Executive Officer and Chairman