SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Kennedy Stephen Richard</u>	2. Date of Even Requiring State (Month/Day/Yea 12/02/2014	ment	3. Issuer Name and Ticker or Trading Symbol <u>HISTOGENICS CORP</u> [HSGX]					
(Last) (First) (Middle) C/O HISTOGENICS CORPORATION			4. Relationship of Reporting Perso (Check all applicable) Director	on(s) to Issue 10% Owne		5. If Amendment, Date of Original Filed (Month/Day/Year)		
830 WINTER STREET, 3RD FLOOR			X Officer (give title below)	Other (spec below)	·	6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) WALTHAM MA 02451 (City) (State) (Zip)			Senior VP of Ope	erations			by One Reporting Person by More than One Person	
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Security	(Instr. 4) Conve or Exe		cise Form:	(Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivati Security	ve or Indirect		
Employee Stock Option (Right to Buy)	(1)	12/10/2023	Common Stock	27,767 ⁽²⁾	7.13	⁽²⁾ D		
Explanation of Responses:	*	•	•				,	

1. This option vests over four years of service following August 19, 2013, with 25% vesting upon completion of one year of service and in 36 equal monthly installments thereafter.

2. Reflects a 10.804-to-1 reverse stock split effected on November 14, 2014.

Remarks:

/s/ Stephen Kennedy

** Signature of Reporting Person

12/02/2014 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.