FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D	C. 20549
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APP	ROVAL					
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

								mpany Act	01 1940						
1. Name and Address of Reporting Person* <u>Tammara Vijay</u>			2. Issuer Name and Ticker or Trading Symbol Ocugen, Inc. [OCGN]						all appli Directo	cable) or	rting Person(s) to Issuer 10% Owner				
(Last) (First) (Middle) C/O OCUGEN, INC.			3. Date of Earliest Transaction 05/04/2021					ction (Month/Day/Year)						belov	
263 GREAT VALLEY PARKWAY		4. If	Amer	ndmer	nt. Date	of Original	Filed	l (Month/Da	av/Year)	6	i. Indiv	/idual or .	Joint/Group	Filing (Check	Applicable
(Street) MALVERN PA 19355					,	.			.,		ine) X	Form f	filed by One	e Reporting Pe re than One Re	son
(City) (State) (Zip)												1 01301	'		
Table I - No	n-Deriv	/ative	Sec	uriti	ies Ac	quired,	Dis	posed o	of, or Be	nefici	ally	Owned	k		
1. Title of Security (Instr. 3)		nsaction th/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Dispose Code (Instr. 5)		Disposed	ties Acquir I Of (D) (Ins	and Securition Benefici Owned I		es ially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D)	Price	•	Reporte Transac (Instr. 3	tion(s)		(Instr. 4)
Common Stock	05/04	4/2021				М		4,900) A	\$0	.46	4,	900	D	
Common Stock	05/04	4/2021				S		4,900	(1) D	\$13	3.81		0	D	
Common Stock	05/04	4/2021				M		2,000) A	\$2	.94	2,	000	D	
Common Stock	05/04	4/2021	/2021					2,000(1)	(1) D	\$13	3.81	0		D	
Common Stock	05/04	4/2021				M		6,500) A	\$0	.51	6,	500	D	
Common Stock	05/04	4/2021				S		6,500	(1) D	\$13	.5 ⁽²⁾		0	D	
Common Stock	05/04	4/2021	\perp			M		2,311	A	\$0.	565	2,	311	D	
Common Stock	05/04	4/2021				S		2,311((1) D	\$13	3.81		0	D	
Table II								osed of, converti				wned			
ttle of vative vative critical price of perivative Security 2. Conversion or Exercise Price of Derivative Security 3. Transaction Date Execution Date, if any (Month/Day/Year) (Month/Day/Year) 3. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year) 8)			ion of E		6. Date Exercisable and Expiration Date (Month/Day/Year)		.	7. Title and Amount of Securities Underlying Derivative Secu (Instr. 3 and 4)		De Se (In	8. Price of Derivative Security (Instr. 5)		Ownershi Form: ly Direct (D) or Indirec (I) (Instr. 4	Beneficia Ownershi t (Instr. 4)	
		Code	v	(A)	(D)	Date Exercisab		Expiration Date	Title	Amour or Number of Shares	er				
Options (Right to \$0.46 05/04/2021 Buy)		М			4,900	(3)	C	09/18/2024	Common Stock	4,900		\$0	0	D	
Options (Right to \$2.94 05/04/2021 Buy)		М			2,000	(3)	()4/26/2026	Common Stock	2,000)	\$0	2,191	D	
Options (Right to \$0.51 05/04/2021		М			6,500	(4)		01/02/2030	Common Stock	6,500		\$0	58,261	l D	

Explanation of Responses:

(Right to

Buy)

\$0.565

- 1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- 2. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$13.35 to \$13.71. The Reporting Person undertakes to provide Ocugen, Inc. (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

(5)

08/14/2030

3. All of the options became exerciable immediately upon grant.

05/04/2021

4. The option, initially representing a right to purchase a total of 77,641 shares, vests and becomes exercisable annually in equal installments over three years commencing on January 2, 2021, subject to continued service with the Company on the applicable vesting dates.

2.311

5. The option, initially representing a right to purchase a total of 50,000 shares, vests and becomes exercisable in equal monthly installments over three years commencing on September 14, 2020, subject to continued service with the Company on the applicable vesting dates.

/s/ Vijay Tammara by Sanjay Subramanian, his attorney-in-

2,311

Stock

\$0

05/05/2021

42,578

D

fact

** Signature of Reporting Person

Date

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.