FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Washington, D.	C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APP	ROVAL										
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	Check this box if no longer subject to	OIAII
١	Section 16. Form 4 or Form 5	
	obligations may continue. See	
	Instruction 1(b).	

			or s	Section 30(h) of the In	vestme	nt Con	npany Act of 19	940						
Name and Address of Reporting Person*     Kumar Ramesh				2. Issuer Name <b>and</b> Ticker or Trading Symbol Ocugen, Inc. [OCGN]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
								X	Director	10% (	Owner			
(Last) C/O OCUGEN	•	(Middle)		Pate of Earliest Transa	ction (N	lonth/[	Day/Year)			Officer (give title below)	Other below	(specify )		
11 GREAT VALLEY PARKWAY				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable				
(Street)									Line)	Form filed by One	e Reporting Pers	son		
MALVERN	PA	19355								Form filed by Mor Person	re than One Rep	orting		
(City)	(State)	(Zip)												
		Table I - No	n-Derivative	Securities Acq	uired,	Dis	posed of, c	or Ben	eficially	Owned				
Date			2. Transaction Date (Month/Day/Yea	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
					Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		

M

S

7,500

 $7.500^{(1)}$ 

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option (Right to Buy)	\$0.51	07/18/2022		M		7,500		(3)	01/02/2030	Common Stock	7,500	\$0	9,000	D	

## **Explanation of Responses:**

Common Stock

Common Stock

- 1. This sale reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- 2. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$2.56 to \$2.61. The Reporting Person undertakes to provide Ocugen, Inc. (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- 3. The options, initially representing a right to purchase 54,000 shares, vest and become exercisable in equal monthly installments over three years commencing on February 2, 2020, subject to continued service with the Company on the applicable vesting dates.

/s/ Ramesh Kumar by Shankar Musunuri, his attorney-in-fact

Α

D

\$0.51

\$2.57(2)

7,500

0

D

D

07/20/2022

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

07/18/2022

07/18/2022

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.