SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

JOHNSON JOHN	2. Date of Event Requiring Stater (Month/Day/Yea 12/02/2014	nent	3. Issuer Name and Ticker or Trading Symbol <u>HISTOGENICS CORP</u> [HSGX]					
(Last) (First) (Middle) C/O HISTOGENICS CORPORATION			4. Relationship of Reporting Perso (Check all applicable) X Director	on(s) to Issue 10% Owne	(Mo	f Amendment, Da onth/Day/Year)	ate of Original Filed	
830 WINTER STREET, 3RD FLOOR			Officer (give title below)	Other (spe below)		ndividual or Joint blicable Line)	/Group Filing (Check	
(Street) WALTHAM MA 02451						-	y One Reporting Person y More than One erson	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)			. Nature of Indirect Beneficial Ownership nstr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr.		4. Conversior or Exercise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiratior Date	n Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Stock Option (Right to Buy)	(1)	12/10/2023	3 Common Stock	9,255 ⁽²⁾	7.13 ⁽²⁾	D		

Explanation of Responses:

1. This option vests over four years following November 13, 2013, with 25% of the shares vesting upon completion of each year of continuous service.

2. Reflects a 10.804-to-1 reverse stock split effected on November 14, 2014.

Remarks:

/s/ John Johnson

<u>12/02/2014</u> Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.