FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* <u>Zhang Junge</u>					2. Issuer Name and Ticker or Trading Symbol Ocugen, Inc. [ OCGN ]										all app	tor	ng Per	10% Ov	vner
(Last)	(Fii UGEN, INC	,	⁄liddle)		3. Date of Earliest Transaction (Month/Day/Year) 11/02/2021										Office below	er (give title v)		Other (s below)	specify
263 GREAT VALLEY PARKWAY					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)													٦	X	Form	filed by One	e Repo	orting Perso	on
MALVE 	RN PA	. 1	9355												Form Perso	filed by Mo	re thar	n One Repo	orting
(City)	(St	ate) (Z	Zip)																
		Table	I - Non-I	Derivat	tive S	Secur	rities	Ac	quire	d, Dis	sposed of	, or B	enefic	ially	Own	ed			
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Y				Execution Date,		· /	Transaction Code (Instr.		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 a			and 5) Se Be Ov		Amount of ecurities eneficially wned Following eported		n: Direct r Indirect istr. 4)	7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) or (D)	Price	Trans		ted action(s) 3 and 4)			(Instr. 4)
Common Stock 11/02/20			1/02/202	21				S		149,700(1)	D	\$15.7	75 <sup>(2)</sup>	881,731 <sup>(3)</sup>			I	By: Gupiao Trust	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	ivative Conversion Date Execution Date, urity or Exercise (Month/Day/Year) if any			Date,		Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)			and nt of ties lying tive ty (Instr. 4)	Deri Sec	Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction( (Instr. 4)	y   1	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)
					Code	v	(A)	(D)	Date Exerc	cisable	Expiration Date	Title	Amount or Number of Shares						

## **Explanation of Responses:**

- 1. This sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- 2. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$15.50 to \$16.27. The Reporting Person undertakes to provide Ocugen, Inc. (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 3. The Reporting Person previously transferred 818,578 shares of common stock held directly by the Reporting Person to the Gupiao Trust in March 2021.

## Remarks:

/s/ Junge Zhang by Sanjay Subramanian, his attorney-in- 11/03/2021 fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.